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Applicant(s): Brian Reistad, et al.

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Serial No.: TBA

Group Art Unit: TBA

Filed: July 24, 2000

Title: METHOD AND SYSTEM FOR FACILITATING MARKETING DIALOGUES

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith for filing in the above-referenced patent application are the following documents:

1. New U.S. Patent Application entitled **METHOD AND SYSTEM FOR FACILITATING MARKETING DIALOGUES**

and naming as inventor(s): Brian Reistad;
William D. Snapper;
Andrew C. Payne; and
James Campbell

the Application including 40 pages comprising:

23 pages of specification;
3 pages of claims (claims 1-16)
1 page of abstract; and
13 pages of informal drawings (Figures 1 - 11).

2. Declaration and Power of Attorney (executed).
3. Small Entity Statement (executed).
4. Assignment and Form PTO-1595 (Recordation Form Cover Sheet) (executed).
5. Return Postcard.

The Commissioner is hereby authorized to charge the filing fee of \$345.00 (for a small entity), the Assignment recordation fee (\$40), and any other fees, and to credit any overpayment to, our Deposit Account No. 08-0219.

If the enclosed papers are considered incomplete, please contact the undersigned.

Respectfully submitted,



Donald R. Steinberg

Reg. No. 37,241

Attorney for Applicants

Date: July 24, 2000

Hale and Dorr LLP
60 State Street
Boston, MA 02109
Telephone: 617-526-6453
Facsimile: 617-526-5000

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Applicant(s) or Patentee(s): Brian Reistad, et al.

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Filed or Issued: July 24, 2000

For: METHOD AND SYSTEM FOR FACILITATING MARKETING DIALOGUES

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) AND 1.27 (c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the
concern identified below:

NAME OF CONCERN:

Revenio, Inc.

ADDRESS OF CONCERN:

16 New England Executive Park, 2nd Floor
Burlington, MA 01803

I hereby declare that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR Part 121, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when, either directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "METHOD AND SYSTEM FOR FACILITATING MARKETING DIALOGUES" by inventor(s) Brian Reistad, William D. Snapper, Andrew C. Payne, and James Campbell described in

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

TITLE OF PERSON OTHER THAN OWNER

ADDRESS OF PERSON SIGNING

Andrew C. Payne
President + CEO
Burlington, MA 01803

SIGNATURE _____

DATE _____

7/20/00

METHOD AND SYSTEM FOR FACILITATING MARKETING DIALOGUES

Field of the Invention

This invention relates to methods and systems for interactive marketing and for generating interactive conversations.

Background of the Invention

Prior to the rise in popularity of the Internet, limited direct response marketing efforts existed, primarily in non-electronic channels. Marketers engaged in targeting and segmentation efforts, but the marketing involved little if any interactivity. The marketer sent out materials, and for the most part the customers and potential customers either purchased items or did not. The rise in popularity of the Internet led to the use of electronic mail (e-mail) marketing efforts. The use of e-mail led to an increase in the personalization of marketing efforts and to more sophisticated list management. Through e-mail, some marketers also permitted some customers and potential customers to "opt-in" (or "opt-out") of marketing efforts. This provided a rudimentary level of interactivity.

However, even with opt-in procedures, existing marketing efforts still permit very little interactivity between the marketer and the customers. The ability for marketers easily to alter what is sent to customers, when customers receive marketing materials, and how often they receive materials, is still very limited. Marketers have very limited ability to engage in two-way communications with their customers, or to engage in continuous or long-term dialogues with their customers. In addition, the ability to alter the type of communications (such as e-mail, regular mail, or telephone contact) or substance of communications in accordance with a customer's wishes or responses (or lack of responses) is very limited. Marketers also have a limited ability to alter communications based on trends in the results of current marketing efforts.

Summary of the Invention

According to the present invention, a marketer (or any person or entity wishing to communicate with a number of people or entities) is able to set up and modify a script for communicating with potentially large numbers of customers (or potential customers, or other participants receiving a communication), where the script provides for waiting for and receiving

responses to communications, and sending subsequent communications (or taking other actions) that depend, for example, on the responses, information known (or surmised) about the individual participant, and various business or other factors.

A system according to the present invention permits the marketer to view, in real time (if
5 desired) the results of the marketing efforts on a macro level, and to adjust the marketing efforts in response to trends or other business interests. The marketing efforts typically will be based on e-mail or other communications over the Internet (or other network), but can include other media or combinations of communication channels, such as e-mail, regular mail, facsimile, pager or other wireless communications, and telephone calls.

10 The system may include a user interface for creating and/or modifying scripts that describe the flow of the marketing efforts, a user interface for monitoring the results of running the scripts, a dialogue system for running the scripts, and interfaces to various communications channels.

Each participant, at any point in time, preferably is at a specific point in each of one or
15 more scripts, where a script running for a specific participant represents a "dialogue" or "conversation." Thus, a participant can be part of multiple dialogues in multiple scripts, or multiple dialogues in a single script. Depending on whether the participant has responded to a communication or some other event has occurred, each dialogue may be running, idle (waiting to continue execution), or paused (waiting for a response from the participant or for some other
20 event, such as a purchase, a website visit, or the publication of a new book).

In accordance with a preferred embodiment of the present invention, the system is scalable so that many dialogues in multiple scripts may be running at the same time, with many individual participants at different points within the same script. The system may be run on standard computer hardware, with numerous processes running at the same time. Individual
25 dialogues will run briefly, then pause for long periods of time (days, weeks, or longer) while waiting for the next event. The processing can be distributed across multiple processors or machines. The system may be operated in conjunction with a message managing system, such as described in commonly-assigned patent application number _____, filed on the same day as this application, entitled "Method and System for Managing Message Passing," which is
30 incorporated herein by reference.

Brief Description of the Drawings

Figure 1 is a block diagram of a system according to an embodiment of the present invention.

Figure 2 is a representation of a structure for use with an embodiment of the present invention.

Figure 3 is a representation of a structure for use with an embodiment of the present invention.

Figures 4a and 4b are representations of a structure for use with an embodiment of the present invention.

Figure 5 is a representation of a structure for use with an embodiment of the present invention.

Figure 6 is a state diagram for a structure for use with an embodiment of the present invention.

Figure 7 is a block and flow diagram of structures used and steps performed according to an embodiment of the present invention.

Figures 8a and 8b are representations of structures for use with an embodiment of the present invention.

Figures 9a and 9b are representations of structures for use with an embodiment of the present invention.

Figure 10 is a representation of structures for use with an embodiment of the present invention.

Figure 11 is a representation of a structure for use with an embodiment of the present invention.

Detailed Description of Preferred Embodiments

Referring to Figure 1, dialogue system 10 includes dialogue engine 14, data dictionary 16, and database system 18. Dialogue engine 14 communicates with marketers over network 20, which in this example is the Internet. However, a local area network or other network could be used for communications between the marketers and dialogue system 10. Preferably, dialogue engine 14 runs on an Intel 700 MHz or higher processor, running Windows NT or 2000, and

database system 18 includes Oracle 8i running on an Intel 700 MHz or higher processor running Windows NT or 2000, or a Sun SPARC Ultra 10 or higher processor running Sun Solaris.

5 Marketers, using browser-based clients 22, set up marketing scripts and monitor the results. Preferably, the marketers are able to perform these functions using just their browser, possibly with a plug-in or other small downloaded software. In preferred embodiments, the functions are performed through the use of HTML or Java-based programs.

10 Dialogue engine 14 communicates through XML interface 30 with communications channels 32, such as e-mail channel 34, world wide web (Web) channel 36, telephone channel 38, regular mail channel 40, and other channels 42. E-mail channel 34 permits e-mail communications with participants 50 over network 52 (which, in the case of the Internet, may be the same network as exemplary network 20; however, network 20 and network 52 may be different). Web channel 36 permits communications with participants 50 through the world wide web and participants' browsers. Telephone channel 38 sets up queues for telephone calls to be made to participants 50. Regular mail channel 40 permits the automatic or semi-automatic generation of post cards, letters, or other pieces of mail to be sent to participant 50. Other communication channels 42 can include, for example, facsimile, or pager or other wireless communications. Preferably, e-mail channel 34 is run through SMTP e-mail server 58, which in a preferred embodiment includes an Intel 700 MHz or higher processor running Windows NT or 2000, or Linux. Web channel 36 preferably is run through web server 60 with similar processor and operating system as e-mail server 58.

20 Clients 22 provide a user interface 100 (Figure 2) for generating scripts. Preferably, user interface 100 provides drag-and-drop capabilities for adding specific function shapes 102 to a script. Function shapes 102 include logical shapes 104, e-mail output shapes 106, and other outputs shapes 108. Logical shapes 104 include begin shape 110, end shape 112, goto script shape 114, decision shape 116, delay shape 118, sample/segment shape 120, fatigue check shape 122, permission check shape 124, send to database shape 126, and repeat shape 128. E-mail shapes 106 include send message shape 140, send question shape 142, send newsletter shape 144, and send coupon shape 146. Other outputs shapes 108 include queue call shape 150 and queue mail shape 152. Of course, additional or alternative shapes can be used, as appropriate for a particular application.

In a preferred embodiment, in order to build a script, a user can drag a specific shape from a palette of shape options 160 onto a script screen 162. Preferably, when starting a new script, script screen 162 is pre-populated with begin shape 110. The output(s) (if any) for each shape appear as the shape is dragged onto script screen 162. The user can then drag the end of an output (such as end 164) to the input point (such as point 166) of the next shape in sequence, in order to “connect” two shapes. When a new script is created, the user optionally can specify when a script should be stopped, such as on a specified date or after a specified number of participants have gone through it. The user also may use script templates to create quickly the basic form of a script.

A script begins with begin shape 110 and ends with one or more end shapes 112, where an end shape 112 is used to indicate the end of a path through a script. Alternatively, other shapes also can end a path, without a separate end shape. Preferably, each shape has a single input point (except begin shape 110, which has no input) and one or more outputs (except end shape 112, which has no output). Nonetheless, with loops (such as repeat shape 128) or optionally with shapes in general, an output from each of multiple shapes can lead to a single input point.

When a shape is selected (for example, by double-clicking on it), an option window 200 appears (Figure 3) that permits the user to provide a caption for the shape, if appropriate; captions for output options, where there are multiple outputs; and variables (along with discrete values for those variables) to be evaluated by or used by that shape. Option window 200 will look different, and have different options, for different shapes. Alternatively, option window 200 can appear automatically when the shape is dragged onto script screen 162.

Logical shapes generally control the flow of the script. For example, goto script shape 114 causes the current script to jump to another location in the script, or to terminate and starts up another script, as a continuation of the existing dialogue.

Decision shape 116 is used for branching. For example, as shown in Figure 2, decision shape 116 causes the script to branch depending on the response to a prior question. When a decision shape 116 is dragged onto script screen 162, the option window (Figure 3) permits the user to identify the response or other information on which the decision is being made and the value or values that should lead to each branch. Although Figure 3 shows a decision being made based on a single variable, decisions also could incorporate Boolean logic or other mechanisms

to permit multiple variables to be considered within a single decision shape. Alternatively, multiple decision shapes 116 can be used, in sequence, to cause branching to depend on multiple variables.

Delay shape 118 provides for a pause before the next shape is processed. For example, delay shape 118 can wait until a specified event occurs, such as the publication of a new book by Stephen King, or can wait until a specified date (such as April 15, the day after Thanksgiving, two weeks before Father's Day, or 14 days after a call was made as a result of a queue call shape 150). In these cases, option window 200 permits the user to define the event. Delay shape 118 also could be used after sending an e-mail, to provide for a 10-day pause before the next step is executed. In this case, option window 200 would permit the user to define the length of the delay. However, in many cases the send question shape (described below) will be used to provide a maximum period to wait for a response to an e-mail.

Also, delay shape 118 can be defined to wait until a specified combination of events occurs, such as until a response is received from an e-mail or 10 days elapse, whichever comes first. If the time period elapses, the response can then be recorded as "no response," so that the appropriate decision shape branch can subsequently be taken. That is, the dialogue can be set up to provide for alternatives if the participant fails to respond within a specified period of time.

Sample/segment shape 120 allows a specified sample of a population to be selected. For example, a marketer might want to ask a certain question only to (for example) 10 percent of its customers, so that it is not overwhelmed by the volume of responses. As another example, this shape can be used so that the population is divided into different groups, with each group receiving a different offer or other communication. This allows the marketer to assess the effectiveness of different communications. In these cases, option window 200 would permit the user to define the percentage of respondents to be routed to each branch, and to provide a caption for each branch. Although two branches are shown in Figure 2, a sample/segment shape 120 could have more than two branches, so that, for example, 10 percent of the participants are directed down a first branch, 30 percent of the participants are directed down a second branch, and the remaining 60 percent are directed down a third branch. In a preferred embodiment, when executed, a sample/segment shape 120 will cause a random number to be generated each time a participant reaches the shape. The number is normalized to a number between 0 and 99, and the selected percentages are used to determine, from the number, which branch is taken.

Fatigue check shape 122 is used to prevent the same communication from being sent to a participant too frequently. For example, fatigue check shape 122 can be used to ensure that a participant who is in multiple dialogues with the same script (which may occur, for example, if a script is run every time a participant purchases an item from an online store) does not receive a certain e-mail if it has received the same e-mail (from an earlier dialogue) within the past 14 days. Preferably, fatigue checking is done against the shape that comes after fatigue check shape 122. Alternatively, option window 200 can permit the user to select the specific instance (or instances, if the same shape is used multiple times in a script in a sufficiently similar way) of a shape to check against and the time period. When executed, if the participant has received (for example) the designated e-mail within the designated period, the dialogue will delay until the end of the period before moving on to the designated shape.

Permission check shape 124 is used to determine whether a participant meets specified criteria to proceed down a given branch. It is a specialized version of decision shape 116. For example, permission check shape 124 might be used to determine whether a user has decided to opt out of receiving certain types of messages or messages from certain channels. Permission check shape 124 also can be used to determine whether a user has a preferred channel, so that messages will be directed to that channel, if possible.

Send to database shape 126 is used to store responses or other data into a specified external database.

Repeat shape 128 is used to create loops. For example, to cause a series of steps to repeat 2 times (so that the series of steps execute in total 3 times), the user would select a repeat value of "2" in option window 200.

E-mail shapes 106 generally determine the type of e-mail that will be sent to participants. For example, send message shape 140 is used to send a message, where no response is requested from the participant. Option window 200 allows the user to define the text of the e-mail, by typing in the text or by identifying a file containing the text of the e-mail, and the type of e-mail (such as plain text format or HTML format). Preferably, the text of the e-mail is included as part of the body of the e-mail, rather than as an attachment. In one embodiment, each e-mail shape includes a "not sent" branch, to account for situations where the message is not sent (such as, if the participant has no e-mail address or the system determines not to send the message).

Send question shape 142 is used to send an e-mail, where a response is requested. In this case, option window 200 allows the user to define the name(s) and types of the variable(s) that will hold the value or values of one or more responses to one or more questions. The user can define the specific responses that are permitted (that is, the participant receives a set of options and is permitted to select one or more) for each question or can permit any value to be entered as, for example, a textual or numerical value. In addition, the user can define a time period after which the script assumes that the participant will not respond. Thus, in the example in Figure 2, decision shape 116 is based on the response to send question shape 142, and the third branch is selected if the participant does not respond within 7 days. Alternatively, send question shape 142 can have branches to reflect whether a response is received or not, with decision shape 116 then providing branches for the different responses that could be received. Preferably, option window 200 permits the user to designate the database or databases to which the responses will be stored.

Send newsletter shape 144 is used to send an e-mail with an attachment or with a link to a URL. This shape may be used to send a newsletter or other document to a participant. The attachment can be a text, picture, video, sound, or other type of file. Option window 200 permits the user to identify the file or URL. Optionally, more than one attachment or URL can be specified.

Send coupon shape 146 is used to send a coupon to the participant. The user is able to define a nominal amount of the coupon (by dollar amount or percentage), the expiration date, and any other restrictions (such as, the coupon can only be used on weekends, with a minimum purchase amount, or for certain specified goods). A mechanism for altering the nominal amount of a coupon is discussed below.

Alternatively, one or more of these e-mail shapes (such as message shape 140 and send question shape 142) can be combined into a single shape, with options that allow for these variations. As a further alternative, the send question shape and the decision shape can be combined into a single shape, where the options in each of these shapes are selected as part of the single shape. Also, the functionality of permission check shape 124 can be included within one or more of the e-mail shapes (or with other messaging shapes). With each of the e-mail shapes, the e-mail can be personalized based on the participant's name or other demographic information, or based on other information, such as the participant's prior purchase history.

Queue call shape 150 and queue mail shape 152 are used to schedule telephone calls and regular mail. Thus, for example, queue call shape 150 can be used to queue calls for telemarketers or others, and queue mail shape can be used to define a letter, post card, or other document to be sent to the participant.

5 Preferably, additional shapes can be added at any time, shapes can be modified, and variables can be added to shapes (either by creating a new shape or modifying the existing shape). To do this, the user informs the system that a new (or modified) shape is available, and through a dynamic loading process (as is generally well known in the art) the system recognizes the new shape and makes it available to browser-based clients 22. By using this “plug-and-play”
10 type of functionality, a user also is able to add new channels to a system. For example, by adding a queue fax shape or a send wireless message shape, a user could add a new messaging channel.

As shown in Figures 4a and 4b, monitoring console 300 provides a user interface for monitoring the status of running scripts on a macro level. In the example shown in Figure 4a, scripts 310 are divided into three groups for ease of viewing: retention scripts 302, newsletters 304, and product offers 306. However, any other groupings, or no groupings, could be used. By clicking on the script name 320, the user is able to view detailed information about the script, including the script itself, a description of the script, the events relevant to that script, and all of the accumulated data for that script. The user is able to view the information described below, but limited to the designated script. In addition, the user may edit or stop the script. In one
20 embodiment, if a script is edited while one or more dialogues have not completed for that script, the script is closed to new participants, existing participants continue to interact with the original script, and a second version of the script is created, with which new participants interact. Alternatively, existing participants can interact with the revised script, as long as the script
25 accounts for possible inconsistencies between steps that have already taken place and future steps that have been changed.

Where the script is currently running, user-selectable data 330 is provided for the script. The data presented for each grouping may be different, reflecting the relevant data to be monitored. Thus, in this example, for retention scripts 302, monitoring console 300 lists the
30 number of consumers for whom the script has run or is running, the number of visits to the web site in response to a communication as part of the script, and the amount of purchases (in both

dollars and number) resulting from visits. Monitoring console 300 could also list, for example, the number of participants for which the script has begun or the number of participants for which the script has completed. Monitoring console 300 also could provide statistical information, such as how a certain number compares to a goal (by percentage, for example). For running scripts, this information is provided in this example both for the last 7 days (line 338) and for the life of the script (line 340). For the “last 7 days” line, monitoring console 300 also provides a running trend 342, indicating the percentage increase or decrease (for example, for the last 7 days versus the previous 7 days).

With other types of scripts, other data may be more appropriate to be listed in monitoring console 300. For example, for a newsletter, the data may include the number of subscribers, the number of issues of the newsletter sent, the number of visits to the web site from viewing the newsletter, and the amount of resulting purchases. For product offers, the data may include the number of consumers for whom the script has run or is running, the number of messages sent (such as specific products offers sent), the number of resulting visits, and the amount of resulting purchases. Alternatively, data for scripts that are no longer running can continue to be listed, or data can only appear after the script has run a minimum number of times.

As shown in Figure 4b, monitoring console 300 can take a different form, in which each script 310 is listed, along with its status 370 (described below), and information such as the total number of dialogues (conversations), the number of active conversations, the number of e-mails sent by that script, the number of e-mails opened by participants in that script, the number of question responses received, the number of links clicked, and the number of completed conversations. The number of total conversations should equal the number of active conversations plus the number of completed conversations. Preferably, as shown in Figure 4b, monitoring console 300 also provides totals 390 for all scripts, a “new” button 394, and a “refresh” button 396. New button 394 switches the user to user interface 100 for generating a new script. Refresh button 396 causes the data shown in monitoring console 300 to be updated (refreshed). Where a script is still in progress, its status preferably is shown as “in design” or something similar.

As shown in Figure 4a, monitoring console 300 preferably also provides an alerts section 360 and controllers 362. In alerts section 360, user-defined alerts appear. For example, a threshold alert 370 can appear when a number exceeds a certain threshold, such as when the

number of consumers exceeds 1000, or some other milestone. The alerts may, but need not, relate to data otherwise appearing on monitoring console 300. A reminder alert 372 can appear when a script should be updated, has expired, has stopped or been paused, or otherwise needs to be reviewed. A system alert 374 indicates a processing or similar type of error, which requires attention.

Controllers 362 provide the user with an ability to adjust certain user-selectable parameters on an individual or macro level. In a preferred embodiment, coupon controller 380 permits the user to adjust the amount of each coupon by a designated percentage. Thus, for example, if the nominal value of a coupon is \$10 or 10%, the coupon value can be increased or decreased by an appropriate percentage. As an example, a user could adjust coupons up by 50% if sales are low, or down 50% if sales are exceeding expectations but the margins are low. This permits coupons for all scripts (or selected scripts) to be adjusted easily, without editing each affected script. Preferably, coupon controller 380 displays the current value of the coupon adjustment off the nominal values, the average value of the coupons affected by the controller, and the number of scripts affected by adjustments in the coupon values.

Similarly, in a preferred embodiment, message frequency controller 385 permits the user to adjust the frequency of messages subject to a delay because of delay shape 118. Like coupon controller 380, message frequency controller 385 permits the user to adjust the length of a delay. Thus, for example, if the nominal value of a delay is 10 days, the delay can be increased or decreased by an appropriate percentage. As an example, a user could adjust delays up by 50% if the data in monitoring console 300 suggests that users are frustrated from receiving too many messages or need more time to consider a prior message. Preferably, message frequency controller 385 displays the current value of the frequency adjustment off the nominal values, the average value of delays affected by the controller (which could be all delays, from all scripts, or just select delays), and the number of scripts affected by the adjustments.

Optionally, as shown in Figure 5, some monitoring functions can be combined with the view of the scripts, as shown in Figure 2. In this case, by selecting a monitoring function, with monitoring toggle button 410, the system overlays over each shape in script screen 162 the percentage of participants 412 that have reached that point in the script, and the number of participants 414 currently at each step in the script. The percentage figure can be for the life of the script or for a designated time period. When the monitoring function is active, script screen

162 also displays the total number of active participants 418. The total number of active participants is the sum of the numbers of participants at each step in the script.

Once a script is completed, it is compiled into a set of instructions and executed by dialogue engine 14. Each shape in a script will yield a set of instructions when the script is compiled, where each instruction contains a command from a set of script operations available to dialogue engine 14. Preferably, the script operations are Java classes, however other languages, whether object-oriented or not, may be used, such as C++ or C. The script itself may be in one of several states. Preferably, the script states include "active" (the script is running), "closed" (the script is running but is closed to new participants), "paused" (the script is being edited or is otherwise frozen), and "archived" (the script is stopped or otherwise no longer in use).

At any given point in time, each dialogue for a script may be in one of several states. Preferably, as shown in Figure 6, the dialogue states include "start" 510 (when a dialogue begins), "idle" 512 (an event [if any] necessary for the dialogue to move to the next step has occurred, and the dialogue is waiting for the dialogue engine to process the next step) "running" 514 (the dialogue is running), "paused" 516 (the dialogue is waiting for an event), and "done" 518 (the dialogue has ended). A dialogue will not be in the idle state 512 if the script is not running (for example, if it is paused). Once the dialogue has been initialized, it will be in the idle state.

Dialogue engine 14 accesses various database tables (described below) in order to execute and keep track of each dialogue. Preferably, in order to execute dialogues, dialogue engine 14 uses three processes, where multiple instances of each process can exist. As shown in Figure 7, start process 610, execution process 612, and resume process 614 each communicate with dialogue database tables 620.

Start process 610 starts a dialogue by creating a conversation object in the database. In a preferred embodiment, start process 610 creates a new entry in conversation table 710 and new entries in conversation properties table 740 for the variables that may be used. These entries may include event parameters supplied with the event. For example, if the purchase of a book is the event that starts a dialogue (conversation), then the name of the book being purchased may be added to the conversation properties table as, in effect, a constant. These tables are described below and shown in Figure 8. In addition, start process 610 may, where a script can be closed after a designated number of participants, decrement a counter to indicate the number of

participants that may still be added to the script (relative to the number in script regulator table 785). Start process 610 may be, for example, an event handler that responds to an external event such as a purchase in the marketer's e-commerce system. Start process 610 also may be responsive to the instructions corresponding to a goto script shape 114 from another script. In that case, start process 610 also would copy any appropriate parameters from entries for the originating dialogue to the new dialogue. In addition, start process 610 can be a manual process, for testing a script from a graphical or other user interface.

Execution process 612 is responsible for executing a dialogue. Preferably, this process is an NT Service or UNIX daemon, which continually looks for idle dialogues in conversation table 710. Upon finding an idle dialogue, execution process 612 marks that dialogue as running (that is, changes its state from idle to running in conversation table 710), as indicated in step 630 in Figure 7, and loads the program corresponding to the script into memory (step 632). Execution process 612 then executes the sequence of instructions starting with the current instruction, as obtained from conversation table 710, until the script completes or pauses (step 634). The script will have completed if it reached an end (or other final) shape in the script or encounters an error, and will have paused if (for example) it reached a delay shape 118. If the dialogue is complete, execution process 612 updates the appropriate database tables 620 (step 636) and marks the dialogue as done (step 638). If, on the other hand, the dialogue has paused, execution process 612 updates the appropriate database tables 620 (step 640), marks the dialogue as paused (step 642), and updates conversation wait table 730 (step 644) to indicate the events that will cause execution of the dialogue to resume (that is, the events that will cause the dialogue state to change to idle). Specifically, wait table 730 receives a new entry or row for each event for which the dialogue is paused. Each entry includes the conversation ID, an event key, and the next instruction to be executed if that event occurs. The database tables that could be updated at steps 636 or 640, when a dialogue is completed or paused, include conversation properties table 740 (which lists the values of dialogue variables) and any appropriate participant tables 900, which include demographic and other participant information.

Resume process 614 is responsible for resuming a dialogue. This is typically an event handler that reacts to the arrival of an event from an external system. For example, if one of the events is the publication of a new book by a specified author or about a specified topic, resume process 614 updates conversation table 710 to mark as idle each entry with an event label that

matches the label of the event being handled, and updates the next instruction field in conversation table 710 with the next instruction to be executed. This instruction is the instruction from the row in conversation wait table 730 that corresponds to the event that occurred. The dialogue is now available to execution process 612 to continue the execution.

Resume process 614 also deletes all rows in conversation wait table 730 with the conversation ID of that dialogue. Preferably, each event includes a priority to control which dialogues are transitioned to idle first.

A number of tables relating to dialogues or conversations are shown in Figure 8a. Conversation table 710 preferably provides an entry (or row) for each dialogue (conversation). Conversation table 710 preferably includes fields (or columns) for dialogue or conversation ID 711 (a unique ID for each dialogue), script instance ID 712 (an identifier for the particular version/instance of the script being run for this dialogue), conversation state 713 (the state of the dialogue, such as paused, idle, or running), conversation source ID 714 (to identify the event or other script by which the participant got into the dialogue), participant ID 715 (a unique identifier for the participant), creation information 716 (the date the conversation was created and the user who created it), modification information 717 (the date the conversation was last modified, and the user who last modified it), current label 718 (a label for the current instruction), priority 719 (a relative priority of a dialogue; dialogues with higher priority will be executed before dialogues with lower priority), current instruction number 720 (the current instruction), original source ID 721 (identifies the first dialogue in the chain of dialogues that led to the current dialogue), previous conversation ID 722 (identifies the previous dialogue in the chain), test 723 (identifies whether the dialogue is being used for testing), and trace 724 (identifies whether each instruction executed will be logged).

If the same participant is in multiple dialogues (one might start, for example, each time the participant purchases another item), possibly in the same script, each of these dialogues has its own dialogue ID 711 but the same participant ID 715. In this and in other tables, preferred names for fields (columns) are indicated, along with a preferred type for the name (such as "double," "text," or "date"). A number in parentheses for a text field indicates a preferred length of the field, and the designation "FK" indicates a foreign key, that is that the field is a link to a field in another table.

Conversation wait table 730 preferably provides an entry for each event that can cause each paused dialogue to change to an idle state. Wait table 730 preferably includes columns for dialogue or conversation ID 732 (corresponding to the dialogue ID in conversation table 710), event key 734 (an identifier for the event), and next instruction 736 (the next instruction to run if the event identified by event key 734 occurs). There can be multiple entries for a single dialogue, where there are multiple events (such as a response to a message or a date) for which that dialogue is waiting. Preferably, event key 734 is in the form of a string or integer, with a prefix (designated EVENT_HANDLER_PFX) that identifies the type of event (such as a date, or a response to a message) and a unique identifier (designated EVENT_UUID). The same event may appear in multiple entries, if more than one dialogue is waiting for the same event (such as a specific date or the publication of a book by a specific author). The next instruction 736 may be different for each event for the same dialogue (or some or all of the next instructions may be the same), and is the instruction that will be placed in the conversation table 710 if the corresponding event occurs.

Conversation properties table 740 preferably provides an entry for each variable that is local to each dialogue. Thus, each dialogue that corresponds to the same script will have entries that list the same variable, and a dialogue may have multiple entries if it corresponds to a script with multiple variables. Properties table 740 preferably includes columns for dialogue or conversation ID 742 (corresponding to the dialogue ID in conversation table 710), variable code 744 (the name of or a code for the variable, or a link to a table with entries for each variable), and value 746 (the value of the variable, such as the value determined from a participant's response to a question). For example, a dialogue may ask a participant whether he or she liked the book recently purchased, whether the participant is satisfied with the level of service, and (if not satisfied) why not. The response field will be filled with a value representing the options available to the participant in responding (such as "yes" or "no," a price range, a level of satisfaction, or a text field filled with a participant's textual response to a question). Preferably, the response field also can have a value indicating that the participant did not respond at all (such as, if the time to respond expired before a response was received) and a value indicating that the participant declined (or failed) to answer the question, but responded to the communication.

Some tables relating to scripts are shown in Figure 8b. Script table 750 preferably includes an entry for each version of each script. Script table 750 preferably includes columns

for script instance ID 751, script state 752 (the state of the script, such as active, closed, paused, or archived), script group 753 (an identifier for a particular script, which is common to all revisions of that script), script revision 754 (the particular revision of the script, preferably with separate columns for major and minor revision numbers), script name 755, description 756, start and stop dates 757 for the script, creation information 758, and modification information 759.

Script properties table 760 preferably includes an entry for pieces of additional information (such as, meta-data) about the script. This table preferably includes columns for script instance ID 761, code 762 (an identifier for the particular information, such as “goal” of a script), and value 763 (the value of the code, such as “increase purchases” or “obtain registration”).

Preferably, labeled scripts table 765 provides a label (name) for a script which refers to the latest version of that script. Labeled scripts table 765 preferably includes columns for script instance ID 766, label text 767 (the label, such as “current”), creation information 768, and modification information 769.

Script source table 770 preferably provides source code information for the script. Script source table 770 preferably includes columns for script instance ID 771 and XML 772, where XML is the script in text form. This could be, for example, the XML version of the script that is displayed graphically (as represented, for example, in Figure 2). Alternatively, XML field could be an identifier for the text form of the script, and other languages can be used to describe the script.

Migrate table 775 preferably is used to record links between related versions of a script. This can be used to move (migrate) a participant from a previous version of a script to the next version. Migrate table 775 preferably includes columns for a migration ID 776, the previous version’s script instance ID 777, the new version’s script instance ID 778, the migration status 779 (such as active or inactive), creation information 780, and modification information 781.

Script regulator table 785 preferably is used to keep track of queues for scripts with a limited number of participants or that otherwise can be closed automatically. When the script closes, the table is used to determine how to handle subsequent potential participants. Script regulator table 785 preferably includes columns for script group ID 786, script label 787, regulator code 788 (a code for the type of regulator imposed on the script, such as a date when the script closes or a maximum number of participants), limit 789 (the numeric limit, for scripts

with a numeric limit), queue 790 (an indicator of whether additional potential participants are queued for when the limit is no longer exceeded), and cutoff date 791 (the cutoff date, for scripts with a cutoff date).

The database also includes program related tables, as shown in Figure 9a, which preferably include instruction table 810, instruction properties table 815, script entry point table 820, script operation table 825, and script operation properties table 835.

Instruction table 810 preferably matches instructions with the corresponding operations. Instruction table 810 preferably includes entries for each instruction appearing in any script, with columns for instruction ID 811 (a unique identifier for the instruction), script operation ID 812 (the operation to which the instruction corresponds), script instance ID 813 (the particular script instance in which the instruction is found), and label 814 (a label for the instruction).

Instruction properties table 815 preferably includes information about the properties of the variables used by an instruction. Instruction properties table 815 preferably includes columns for instruction ID 816, code 817 (the instruction variable, such as a “wait” variable that determines how long a script will wait to receive a response to an e-mail message), and value 818 (the value for code 817, such as “7 days,” if this instruction will wait 7 days for a response).

Script entry point table 820 preferably includes an entry for each instruction that can begin a script. This table preferably includes columns for the instruction ID 821 and for an entry point label 822 (such as “begin”). Among other things, this table permits the use of multiple entry points for a script.

Script operation table 825 preferably provides a list of the operations known to the system. It preferably includes columns for script operation ID 826, operation status 827 (such as, “active” or “inactive,” to permit certain operations to be inactivated), operation name 828, class 829 (the Java or other class to execute to perform the operation), creation information 830, and modification information 831. Although operations preferably are executed as Java classes, other programming languages can be used with appropriate changes made to this table.

Script operation properties table 835 preferably includes information about properties of each operation for a particular installation, such as a name that the system provides for a variable and the corresponding internal name for that installation. This table preferably includes columns for script operation ID 836, code 837 (the property), and value 838 (its value, such as the internal name).

The database preferably also includes event-related tables shown in Figure 9b. Event meta table 860 preferably provides a list of all events known to the system. This table preferably includes columns for event ID 861, event type 862 (the type of event, such as “book purchase”), event name 863, description 864, start script indicator 865 (an indicator of whether this event can start a script), creation information 866, and modification information 867.

Event meta properties table 870 preferably includes entries for each variable associated with an event from event meta table 860. Event meta properties table 870 preferably includes columns for event ID 871, code 872 (a name or code for the event), and value 873 (a description of the event represented by code 872).

Event script table 880 preferably lists the events that are configured to start dialogues (conversations) in scripts. This table preferably includes an entry for each script started by each event, with columns for event script ID 881 (an identifier for the event), event script state 882 (such as, “active” or “inactive,” where an inactive state signifies that the event cannot be used to start a script), script group ID 883 (the script that will be started), script label 884 (such as “current”), event name 885 (a name for the event, such as “book purchase”), creation information 886, and modification information 887. Where an event can start multiple scripts, it will have multiple entries in this table.

Event script properties table 890 preferably provides name/value pairs for each event appearing in event script table 880. This table preferably includes columns for event script ID 891, code 892 (a name or code for event), and value 893 (a description of the event represented by code 892).

Additional tables may be used to store statistical information about scripts, such as the number of times a script has run, the number of times each step in a script has run, and the number of pending dialogues at each step in a script. This information can be maintained for the life of a script and for various periods (such as the past 7 days and the 7 days before that). Also, tables may be used to log information about messages, such as e-mails sent and e-mails opened, click-throughs on links included with messages, and other data relating to interactions with participants.

The database preferably also includes tables relating to participants, as shown in Figure 10. These may include participant data table 910, which preferably maintains the main demographic information about each participant. It preferably has columns for participant ID

911 (as appears in conversation table 710), gender 912, income range 913, marital status 914, numeric key 915 and alphanumerical key 916 (keys to numerical or alphanumerical identifiers for the same participant in legacy databases of the user), last name 917, first name 918, middle initial 919, name prefix 920 (such as Mr., Ms., Dr., etc.), name suffix 921 (such as Jr., or III), date of birth 922, preferred address 923 (a key to the preferred address from address table 940), preferred phone 924 (a key to the preferred phone number from phone table 950), and preferred e-mail 925 (a key to the preferred e-mail address from e-mail table 930). This table is used, for example, to insert the participant's name and other information in an e-mail or letter, and to determine which address or phone number to use for messages.

Participant e-mail table 930 preferably has entries for each e-mail address for each participant. It preferably has columns for participant ID 931, e-mail type 932 (such as home or work), e-mail text 933 (the actual e-mail address), e-mail format 934 (such as html or plain text), e-mail status 935 (such as "inactive," if a prior e-mail was returned as undeliverable), creation information 936, and modification information 937.

Similarly, address table 940 preferably has entries for each address for each participant. It preferably has columns for participant ID 941, address type 942 (such as home or work), state code 943 (the 2 digit abbreviation for the state portion of the address), country code 944 (a 3 digit abbreviation for the country portion of the address), address status 945 (such as "inactive" if regular mail could not be delivered), address 946 (which can be 2 or more columns, to provide for 2 or more lines of an address), city 947, postal code 948, region 949 (where appropriate for the participant's country), and province 950 (where appropriate for the participant's country).

In a like manner, phone table 955 preferably has entries for each phone number for each participant. It preferably has columns for participant ID 956, phone type 957 (such as home, work, or cellular), phone number 958 (the actual number, including area code and any country or similar codes needed for international dialing), and phone status 959 (such as "inactive" if the number has been disconnected).

Last contacted table 960 preferably includes information about recent correspondence with a participant. Preferably, it includes an entry for each participant, and includes participant ID 961, the last e-mail date 962, the last phone date 963, and the last regular mail date 964.

Where other channels are used, additional columns can be included for those channels.

Script group properties table 970 preferably is used to store values for use by fatigue check shape 122 or any other shape-specific participant variable (discussed below). This table preferably includes entries for each relevant script and shape for each participant. Multiple entries will exist if the participant has been in different scripts or there are multiple shape-specific variables in a single script. It preferably has columns for participant ID 971, script group ID 972, code 973 (the variable), and value 974 (the value for that variable). With, for example, fatigue check shape 122, the variable (such as last message date) is updated when a message for a particular script is sent, regardless of the dialogue.

Participant service queue table 980 preferably is used by queue call shape 150 and queue mail shape 152 for messages to be sent by these channels. This table preferably includes columns for participant service type 982 (a code for the type of service), participant ID 983, message text 984 (the text of the message to be sent), and create date 985 (when the message was created). The message text field alternatively can identify a file or other location where the message is located, or the script to be used for a telephone call. If other channels are used, such as facsimiles, then participant service queue table 980 preferably also is used for those channels.

Other or different tables, many of which are conventional for keeping information about participants (such as their purchase history or web pages they visited), may be included among the participant tables. Alternatively, participant tables 900 can be completely or partially embodied in a company's existing database systems.

Data dictionary 16 is used to simplify access by dialogue engine 14 and the script-writing user interface 100 to participant data (that is, data corresponding to participant tables 900) in database system 18. Often, this will be data used to make participant-specific decisions, such as that males get one message and females a different message, or that recent buyers get one discount and others get a different discount. Also, the data may be used to construct personalized mailings. This data may exist in a combination of internal and external databases. Internal databases can include the databases described above for dialogue and script information, and any participant tables using the same database system. These database tables are known to and accessible by the dialogue engine. External databases can include a company's proprietary customer (or similar) database, or any other database external to the dialogue system. Often, these databases will have an unknown format and will not be accessible directly by the dialogue

engine. An external database also could include an LDAP server or other data server having a standard format.

Data in the various databases may be “fixed” or “computed.” Fixed data exists in a database table, such as the gender of a participant, a participant’s state of residence, or the date of a participant’s last purchase. Computed data is derived using one or more computations. For example, whether a participant is a “recent buyer” may depend on a calculation based on the date of the participant’s last purchase -- if the last purchase was within 30 days the participant may be considered a recent buyer.

Data variables may have “discrete” or “continuous” values. Examples of discrete data variables include gender (male, female, or unknown) and favorite season (spring, summer, fall, winter, or unknown). Continuous data variables could have essentially any value, such as the last purchase date, age, or income, although in practice the number of possible values for a continuous data variable is not limitless.

Fixed, discrete data variables have a name and a set of possible values. Therefore, decisions can be made by considering each of the possible values. Similarly, computed discrete data variables (such as whether a participant is a frequent buyer) permit decisions to be made by considering each of the possible values.

To make decisions based on fixed, continuous data variables, it will often be preferred to categorize the data into a set of discrete values. For example, “income” could be divided into a set of dollar ranges (with the highest being a certain amount or over). Similarly, continuous, computed data variables can be categorized into a set of discrete values. For example, “average annual purchase” could be categorized into “zero,” “low,” “medium,” and “high” ranges to facilitate decision-making. Alternatively, decisions on continuous variables can be made by using algebraic and/or Boolean expressions. For example, one branch could be taken if the expression is “true” or a value exceeds a certain amount, with another branch taken in the alternative. Of course, more than two branches could be used.

In general, the data variables stored by the system can be divided into six categories. Data variables can be global, participant-dependent, or dialogue-dependent. Each of these three categories, in turn, can be shape-specific or not shape-specific. A global variable is the same for all participants across all dialogues. An example of a shape-specific global variable is a variable that identifies the first 10 participants who have responded to a message, and example of non-

shape specific global variables are a variable indicating the current date or a variable indicating the location of an image. An example of a shape-specific participant variable is a variable that identifies when a participant last received an e-mail (for use, for example, by the manage fatigue shape), and examples of non-shape specific participant variables are demographic information, such as name, e-mail address, and age. An example of a shape-specific dialogue variable is a repeat counter used for a repeat shape, and an example of a non-shape specific dialogue variable is a response to a specific question asked in an e-mail. Preferably, each of these categories is treated differently. For example, separate instances of each dialogue-specific variable must be maintained for each separate dialogue, but only one instance of a participant-specific variable should be maintained for each participant (although a separate shape-specific variable would be maintained for each distinct shape).

As shown in Figure 11, data dictionary 16 uses methods to take an external name for the variable (that is, the name by which the variable is known by the dialogue engine), and determine the database table in which each variable is stored (method 1010), the internal representation (method 1012) of the variable (that is, the name by which the variable is known in the database table), the data type (method 1014), and the data categories (that is the discrete values that the data can have, for use by the dialogue system) (method 1016). Data dictionary 16 also provides methods for converting the data between the format in which it is stored and the format in which it is used by the dialogue system (method 1018). For computed data variables, data dictionary 16 also provides methods to determine the name of each variable used in the calculation (method 1030) and to compute the value of the variable (method 1032). Preferably, users can add additional methods to data dictionary 16 through a dynamic loading process, as discussed above with regard to adding shapes and channels.

While there have been shown and described examples of the present invention, it will be readily apparent to those skilled in the art that various changes and modifications may be made therein without departing from the scope of the invention as defined by the following claims. For example, different database tables can be used, or a different database structure. Also, while the system has been described in terms of marketing activities, the present invention is applicable to other activities in which it is desired to engage in numerous dialogues with multiple participants. Accordingly, the invention is limited only by the following claims and equivalents thereto.

What is claimed is:

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Claims

1. A system for facilitating communications comprising:

one or more programs for communicating with a participant over a network, each
5 program including a plurality of instructions including a first instruction for sending a
communication to a participant and a second instruction for awaiting a response from the
participant;

an engine for executing the programs, the engine being able to process simultaneously a
plurality of instances of each of the programs;

10 a database system for storing data regarding each instance of each of the programs that
has not yet completed and for storing data regarding each participant with whom the programs
are communicating; and

a monitoring interface for providing to a user of the system information about the
execution of the programs.

15 2. The system of claim 1, further comprising a graphical user interface for creating
the programs.

3. The system of claim 2, wherein the graphical user interface provides a set of steps
that a user can select for creating the programs.

20 4. The system of claim 1, wherein each instance of each program can be in one of a
plurality of states at any time, the plurality of states including a running state, an idle state, and a
paused state.

25 5. The system of claim 4, wherein the database system includes a table for
maintaining entries for each instance of each program being processed by the engine, wherein
each entry includes an identifier for the instance of the program, an identifier for the participant
with which the instance communicates, and an identifier for the current state of the program.

6. The system of claim 5, wherein the database system further includes a table for
maintaining entries for each variable for which there is data for each instance of each program
being processed by the engine, wherein each entry includes an identifier for the instance of the
program, an identifier for the variable, and an indication of the value of the variable.

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7. The system of claim 5, wherein each program includes at least one instruction for causing the program to enter the paused state while it awaits the occurrence of one or more events.

8. The system of claim 7, wherein the database system further includes a table for maintaining, for each instance of each program that is awaiting the occurrence of one or more events, an entry for each such event, wherein each entry includes an identifier for the instance of the program, an identifier for the event, and an identifier for the next instruction to be executed by the instance of the program upon the occurrence of the corresponding event.

9. The system of claim 1, wherein the monitoring interface includes one or more alert indicators, each alert indicator relating to one or more of the programs.

10. The system of claim 1, wherein the monitoring interface includes one or more controllers, each controller permitting a user to adjust a user-selectable parameter.

11. The system of claim 10, wherein one controller permits a user to adjust a value for a shape-specific parameter across each of a plurality of programs.

12. A method for facilitating communications, comprising the steps of:
preparing graphical representations of one or more programs for communicating with a participant over a network;
converting each graphical representation into an executable program having a plurality of instructions;
simultaneously maintaining a plurality of instances of the programs; and
at least once for each instance of each of the programs:

sending an electronic communication to a participant;

pausing execution of the instance of the program; and

resuming execution of the program following the occurrence of a specified event.

13. The method of claim 12, wherein the step of resuming execution includes responding to the occurrence of the specified event, identifying an instance of a program that is available for resumed execution, loading the corresponding executable program into a computer memory, and executing a sequence of the instructions for that program.

14. The method of claim 13, wherein the step of responding to the occurrence of the specified event includes updating a first database entry to indicate that the instance of the program is available for resumed execution and updating a second database entry to indicate the

next instruction to be executed for that instance of the program, and the step of identifying an instance of a program that is available for resumed execution includes determining a state of the first database entry.

15. The method of claim 12, wherein the step of resuming execution includes
5 resuming execution of the program following the receipt from the participant of a response to the sent electronic communication.

16. The method of claim 12, wherein the step of resuming execution includes
resuming execution of the program following the passage of a specified period of time without
the receipt from the participant of a response to the sent electronic communication.

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METHOD AND SYSTEM FOR FACILITATING MARKETING DIALOGUES

Abstract

A system for facilitating marketing dialogues permits multiple instances of multiple scripts to be active at the same time, where each of these dialogues can be at a different place in its script. The dialogues permit interactive communications between the user of the system (such as a marketer) and the user's customers or other participants. Scripts are created using a graphical user interface, in which shapes corresponding to steps in a script are dragged and dropped into a script. Communications can be over a network, by telephone, by mail, or by other means. Overall data from the execution of the scripts can be monitored using another graphical user interface, allowing results and trends to be observed and corrections made to the marketing program. Information regarding the scripts and variables used by the scripts is maintained in a set of tables in one or more databases. A data dictionary provides an interface for data stored in the databases.

Figure 1

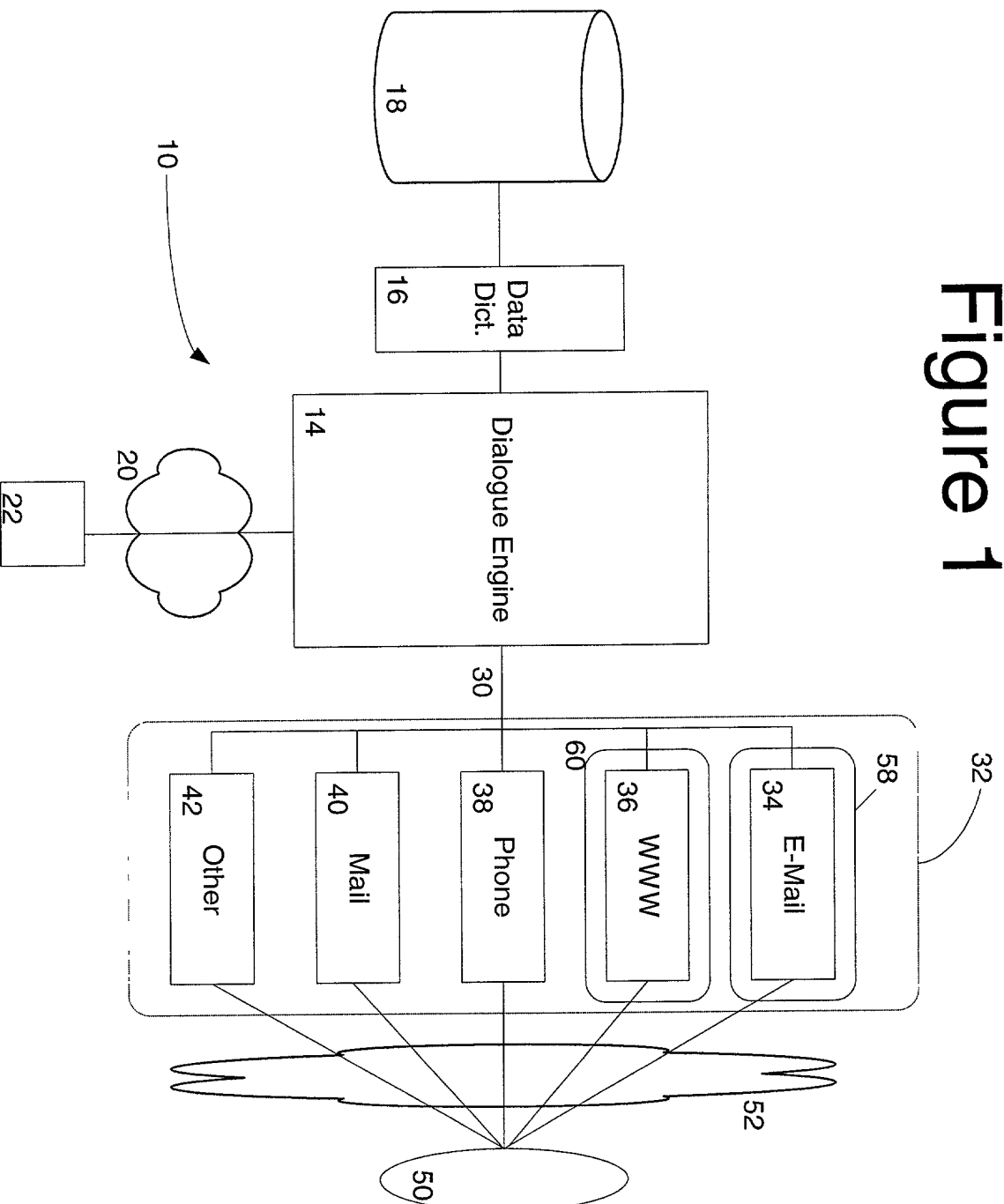


Figure 2

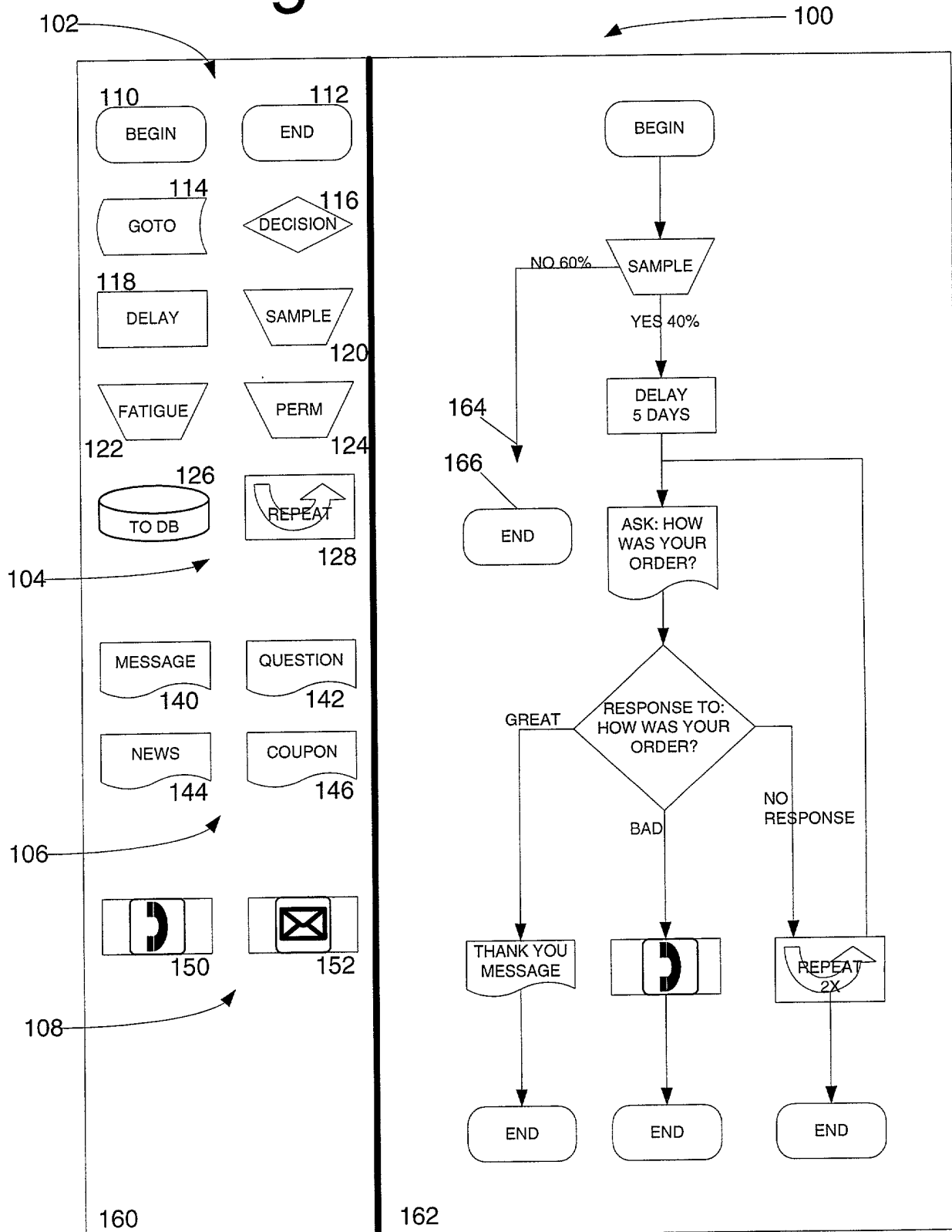


Figure 3

200

Decision Dialog

Select the type of decision for this shape

For each participant check the value for:

Income

Assign the desired values to the appropriate shape lines

Values	Lines
Less than \$25,000	Line 1
\$25,000 - \$35,000	Line 2
\$35,000 - \$45,000	Line 3
\$45,000 - \$55,000	Line 4
\$55,000 - \$65,000	Line 5
\$65,000 - \$75,000	Line 5
Over \$75,000	Line 5
Unknown	Line 5

Label for line 1:
Less than \$25,000

Label for line 2:
\$25,000 - \$35,000

Label for line 3:
\$35,000 - \$45,000

Label for line 4:
\$45,000 - \$55,000

Label for line 5:
All the rest

OK Cancel Help

Figure 4a

004220" 67672960

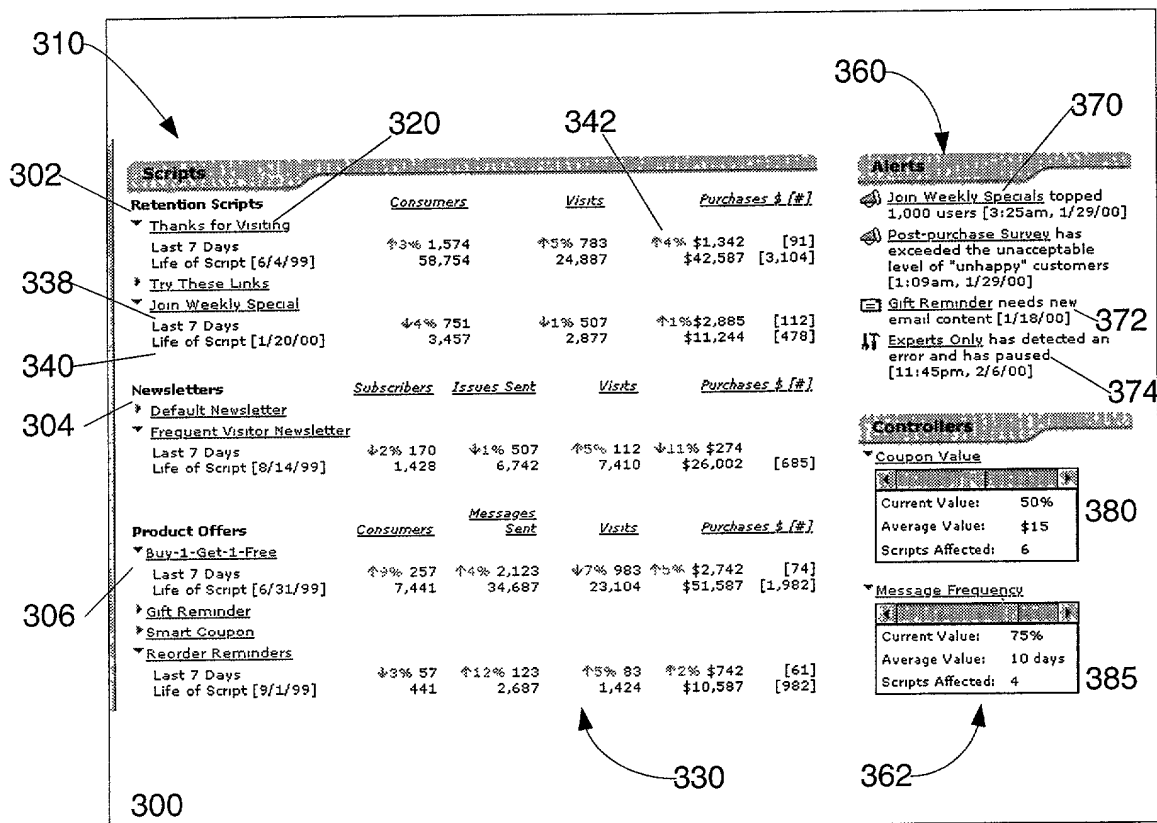


Figure 4b

Monitoring Console

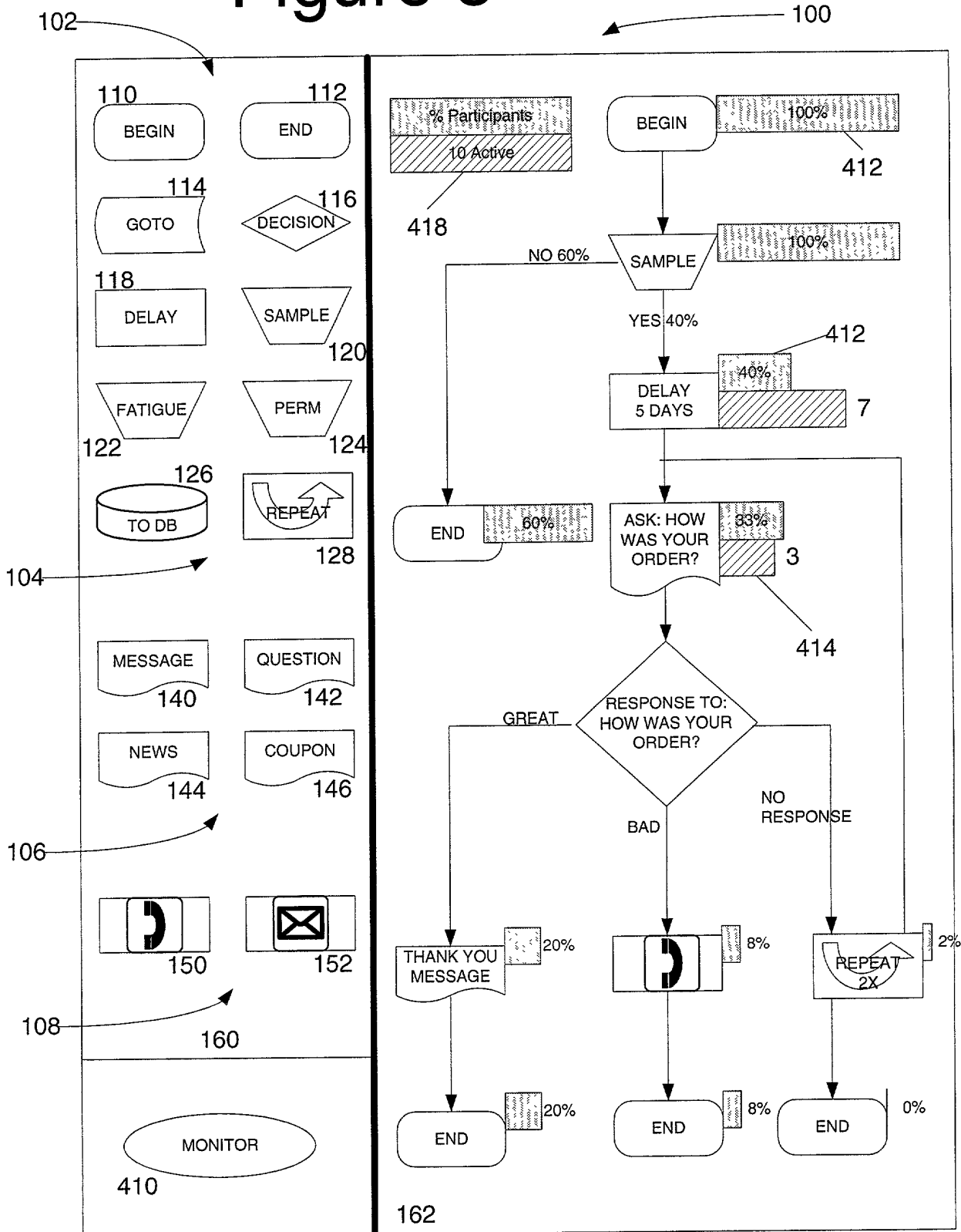
Friday, October 13, 2000 1:13 PM [Refresh](#)

Dialog Name	Status	Total Conversations	Active Conversations	Emails Sent	Emails Opened	Question Responses	Links Clicked	Completed Conversation
Test Script 1.3 - migration testing	Stopped	0	0	0	0	0	0	0
Test Script 1.3 - migration testing	Paused	10	0	0	0	0	0	0
Test Script 1.2 - migration testing	Running	0	0	0	0	0	0	0
Test Script 1.1 - migration testing	Running	0	0	0	0	0	0	0
Customer Satisfaction Survey	Running	48	1	2	2	2	0	47
Purchase	Running	1	0	0	0	0	0	1
Catalog Purchase	Running	47	1	2	2	2	0	46
New Dialog	Running	3	0	3	3	2	0	3
Test 42	Running	5	0	0	0	0	0	4
Test Script 1 (v1.0)	Running	2	2	3	1	0	2	0
Unit Test Script	Running	1	1	2	2	1	0	0
Test Script 2 (v1.0)	In Design	-	-	-	-	-	-	-
Test Script 1 (v1.1)	In Design	-	-	-	-	-	-	-
Totals:		69	4	11	8	5	2	54

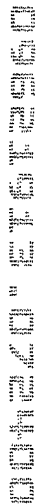
004220" 676736

Figure 5

00420" 257250



Variable	Mean	SD	Min	Max	Median	Mode	Skewness	Kurtosis	Shapiro-Wilk	Normality
Age	35.5	10.5	20	65	35	35	0.1	3.0	0.95	Normal
Gender	1.5	0.5	1	2	1	1	0.0	0.0	0.99	Normal
Marital Status	2.5	1.0	1	4	2	2	0.2	1.5	0.98	Normal
Education	12.5	2.0	9	16	12	12	0.1	3.0	0.95	Normal
Income	1500	500	500	3000	1200	1000	0.3	2.0	0.97	Normal
Occupation	1.5	0.5	1	2	1	1	0.0	0.0	0.99	Normal
Health Status	2.5	1.0	1	4	2	2	0.2	1.5	0.98	Normal
Stress Level	3.5	1.5	1	5	3	3	0.1	3.0	0.95	Normal
Life Satisfaction	4.0	1.0	1	5	4	4	0.0	0.0	0.99	Normal
Work-Life Balance	3.0	1.0	1	5	3	3	0.1	3.0	0.95	Normal
Family Support	4.5	1.0	1	5	4	4	0.0	0.0	0.99	Normal
Community Involvement	2.0	1.0	1	5	2	2	0.2	1.5	0.98	Normal
Personal Growth	3.0	1.0	1	5	3	3	0.1	3.0	0.95	Normal
Overall Well-being	3.5	1.0	1	5	3	3	0.1	3.0	0.95	Normal



Variable	Mean	SD	Min	Max
Age	34.5	10.2	21	55
Gender	0.5	0.5	0	1
Marital status	0.6	0.5	0	1
Education	12.5	1.5	9	16
Income	1500	500	500	3000
Health status	0.8	0.2	0	1
Smoking status	0.3	0.5	0	1
Alcohol consumption	0.2	0.4	0	1
Exercise frequency	0.5	0.5	0	1
Stress level	0.7	0.3	0	1
Depression score	0.4	0.5	0	1
Life satisfaction	0.6	0.4	0	1
Quality of life	0.7	0.3	0	1
Healthcare utilization	0.5	0.5	0	1
Health insurance	0.9	0.1	0	1
Healthcare access	0.8	0.2	0	1
Healthcare cost	1000	300	500	2000
Healthcare quality	0.7	0.3	0	1
Healthcare satisfaction	0.6	0.4	0	1
Healthcare accessibility	0.8	0.2	0	1
Healthcare affordability	0.7	0.3	0	1
Healthcare effectiveness	0.6	0.4	0	1
Healthcare safety	0.8	0.2	0	1
Healthcare equity	0.7	0.3	0	1
Healthcare transparency	0.6	0.4	0	1
Healthcare accountability	0.7	0.3	0	1
Healthcare integrity	0.8	0.2	0	1
Healthcare honesty	0.7	0.3	0	1
Healthcare fairness	0.6	0.4	0	1
Healthcare justice	0.7	0.3	0	1
Healthcare compassion	0.8	0.2	0	1
Healthcare empathy	0.7	0.3	0	1
Healthcare kindness	0.6	0.4	0	1
Healthcare gentleness	0.7	0.3	0	1
Healthcare patience	0.8	0.2	0	1
Healthcare understanding	0.7	0.3	0	1
Healthcare tolerance	0.6	0.4	0	1
Healthcare acceptance	0.7	0.3	0	1
Healthcare inclusion	0.8	0.2	0	1
Healthcare participation	0.7	0.3	0	1
Healthcare collaboration	0.6	0.4	0	1
Healthcare partnership	0.7	0.3	0	1
Healthcare alliance	0.8	0.2	0	1
Healthcare coalition	0.7	0.3	0	1
Healthcare consortium	0.6	0.4	0	1
Healthcare network	0.7	0.3	0	1
Healthcare system	0.8	0.2	0	1
Healthcare organization	0.7	0.3	0	1
Healthcare institution	0.6	0.4	0	1
Healthcare facility	0.7	0.3	0	1
Healthcare center	0.8	0.2	0	1
Healthcare department	0.7	0.3	0	1
Healthcare unit	0.6	0.4	0	1
Healthcare division	0.7	0.3	0	1
Healthcare branch	0.8	0.2	0	1
Healthcare office	0.7	0.3	0	1
Healthcare clinic	0.6	0.4	0	1
Healthcare hospital	0.7	0.3	0	1
Healthcare pharmacy	0.8	0.2	0	1
Healthcare laboratory	0.7	0.3	0	1
Healthcare imaging	0.6	0.4	0	1
Healthcare surgery	0.7	0.3	0	1
Healthcare therapy	0.8	0.2	0	1
Healthcare treatment	0.7	0.3	0	1
Healthcare intervention	0.6	0.4	0	1
Healthcare procedure	0.7	0.3	0	1
Healthcare protocol	0.8	0.2	0	1
Healthcare guideline	0.7	0.3	0	1
Healthcare standard	0.6	0.4	0	1
Healthcare benchmark	0.7	0.3	0	1
Healthcare metric	0.8	0.2	0	1
Healthcare indicator	0.7	0.3	0	1
Healthcare measure	0.6	0.4	0	1
Healthcare assessment	0.7	0.3	0	1
Healthcare evaluation	0.8	0.2	0	1
Healthcare analysis	0.7	0.3	0	1
Healthcare review	0.6	0.4	0	1
Healthcare audit	0.7	0.3	0	1
Healthcare inspection	0.8	0.2	0	1
Healthcare examination	0.7	0.3	0	1
Healthcare investigation	0.6	0.4	0	1
Healthcare inquiry	0.7	0.3	0	1
Healthcare question	0.8	0.2	0	1
Healthcare query	0.7	0.3	0	1

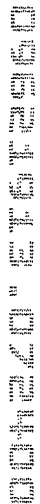


Figure 8a

CONVERSATION

CONVERSATION_ID DOUBLE 711
SCRIPT_INSTANCE_ID DOUBLE (FK) 712
CONV_STATE TEXT(1) (FK) 713
CONVERSATION_SOURCE_ID DOUBLE 714
PARTICIPANT_ID DOUBLE (FK) 715
MODIFIED_USER DOUBLE 717
CREATE_USER DOUBLE 716
MODIFIED_DATE DATE 717
CURRENT_LABEL TEXT(40) 718
PRIORITY DOUBLE 719
CURRENT_INSTRUCTION_NUM DOUBLE 720
ORIGINAL_SOURCE_ID DOUBLE 721
PREVIOUS_CONV_ID DOUBLE 722
TEST DOUBLE 723
TRACE DOUBLE 724
CREATE_DATE DATE 716

710

CONV_PROPS

CONVERSATION_ID DOUBLE (FK) 742
VAR_CODE DOUBLE (FK) 744
VAR_VALUE TEXT(200) 746

740

CONV_WAIT

CONVERSATION_ID DOUBLE (FK) 732
EVENT_UUID TEXT(60) 734
EVENT_HANDLER_PFX TEXT(5) 734
NEXT_INSTRUCTION_LABEL TEXT(40) 736

730

004320" E T 2350

Figure 8b

LABELED_SCRIPTS

SCRIPT_INSTANCE_ID DOUBLE
(FK) 766
LABEL TEXT(40) 767

CREATE_DATE DATE 768
CREATE_USER DOUBLE 768
MODIFIED_USER DOUBLE 769
MODIFIED_DATE DATE 769

765

SCRIPT_SOURCE

SCRIPT_INSTANCE_ID DOUBLE (FK) 771

XML TEXT(86) 772

770

SCRIPT_REGULATOR

SCRIPT_GROUP_ID DOUBLE 786
SCRIPT_LABEL TEXT(40) 787

REGULATOR_CODE TEXT(1) (FK) 788
LIMIT DOUBLE 789
QUEUE DOUBLE 790
CUTOFF_DATE DATE 791

785

SCRIPT

SCRIPT_INSTANCE_ID DOUBLE 751

SCRIPT_STATE TEXT(1) (FK) 752
SCRIPT_GROUP_ID DOUBLE 753
SCRIPT_REV_MAJOR DOUBLE 754
SCRIPT_REV_MINOR DOUBLE 754
SCRIPT_NAME TEXT(80) 755
DESCRIPTION TEXT(255) 756
START_DATE DATE 757
STOP_DATE DATE 757
CREATE_DATE DATE 758
CREATE_USER DOUBLE 758
MODIFIED_DATE DATE 759
MODIFIED_USER DOUBLE 759

750

SCRIPT_PROPS

SCRIPT_INSTANCE_ID DOUBLE
(FK) 761

VAR_CODE DOUBLE (FK) 762

VAR_VALUE TEXT(200) 763

760

MIGRATE

MIGRATE_ID DOUBLE 776

FROM_SCRIPT_INSTANCE_ID
DOUBLE (FK) 777
TO_SCRIPT_INSTANCE_ID DOUBLE
(FK) 778
MIGRATION_STATUS TEXT(1) (FK)
779
CREATE_DATE DATE 780
CREATE_USER DOUBLE 780
MODIFIED_USER DOUBLE 781
MODIFIED_DATE DATE 781

775

Figure 9a

INSTRUCTION	
INSTRUCTION_ID DOUBLE	811
SCRIPT_OPERATION_ID DOUBLE (FK) 812	
SCRIPT_INSTANCE_ID DOUBLE (FK) 813	
LABEL TEXT(40) 814	

810

INSTRUCTION_PROPS	
INSTRUCTION_ID DOUBLE (FK)	816
VAR_CODE DOUBLE (FK)	817
VAR_VALUE TEXT(200) 818	

815

SCRIPT_ENTRY_POINT	
INSTRUCTION_ID DOUBLE (FK)	821
ENTRY_POINT_LABEL TEXT(40) 822	

820

SCRIPT_OPERATION	
SCRIPT_OPERATION_ID DOUBLE	826
SCRIPT_OPERATION_STATUS TEXT(1) (FK) 827	
SCRIPT_OPERATION_NAME TEXT(200) 828	
CLASS TEXT(200) 829	
CREATE_DATE DATE 830	
CREATE_USER DOUBLE 830	
MODIFIED_DATE DATE 831	
MODIFIED_USER DOUBLE 831	

825

SCRIPT_OPERATION_PROPS	
SCRIPT_OPERATION_ID DOUBLE (FK)	836
VAR_CODE DOUBLE (FK)	837
VAR_VALUE TEXT(200) 838	

835

004220 " E F 2250

Figure 9b

EVENT_META

EVENT_META_ID DOUBLE 861
EVENT_META_TYPE TEXT(1) (FK) 862
EVENT_NAME TEXT(80) 863
DESCRIPTION TEXT(80) 864
START_SCRIPT_IND TEXT(1) 865
CREATE_DATE DATE 866
CREATE_USER DOUBLE 866
MODIFIED_DATE DATE 867
MODIFIED_USER DOUBLE 867

860

EVENT_SCRIPT

EVENT_SCRIPT_ID DOUBLE 881
EVENT_SCRIPT_STATE TEXT(1) (FK) 882
SCRIPT_GROUP_ID DOUBLE 883
SCRIPT_LABEL TEXT(40) 884
EVENT_NAME TEXT(80) 885
CREATE_DATE DATE 886
CREATE_USER DOUBLE 886
MODIFIED_DATE DATE 887
MODIFIED_USER DOUBLE 887

880

EVENT_META_PROPS

EVENT_META_ID DOUBLE (FK) 871
VAR_CODE DOUBLE (FK) 872
VAR_VALUE TEXT(200) 873

870

EVENT_SCRIPT_PROPS

EVENT_SCRIPT_ID DOUBLE (FK) 891
VAR_CODE DOUBLE (FK) 892
VAR_VALUE TEXT(200) 893

890

Figure 10

PARTICIPANT_SERVICE_QUEUE

PARTICIPANT_SERVICE_TYPE TEXT(1) (FK) 982 PARTICIPANT_ID DOUBLE (FK) 983 MESSAGE TEXT(200) 984 CREATE_DATE DATE 985

980

PARTICIPANT_DATA

PARTICIPANT_ID DOUBLE (FK) 911
GENDER TEXT(1) (FK) 912 INCOME_RANGE TEXT(1) (FK) 913 MARITAL_STATUS TEXT(1) (FK) 914 NUM_KEY DOUBLE 915 ALPHA_KEY TEXT(50) 916 LAST_NAME TEXT(25) 917 FIRST_NAME TEXT(25) 918 MIDDLE_INITIAL TEXT(1) 919 NAME_PFX TEXT(6) 920 NAME_SFX TEXT(6) 921 DATE_OF_BIRTH DATE 922 PREFERRED_ADDRESS TEXT(1) (FK) 923 PREFERRED_PHONE TEXT(1) (FK) 923 PREFERRED_EMAIL TEXT(1) (FK) 925

910

PARTICIPANT_EMAIL

PARTICIPANT_ID DOUBLE (FK) 931 EMAIL_TYPE TEXT(1) (FK) 932 EMAIL TEXT(200) 933
EMAIL_FORMAT TEXT(1) (FK) 934 EMAIL_STATUS TEXT(1) (FK) 935 CREATE_DATE DATE 936 CREATE_USER DOUBLE 936 MODIFIED_USER DOUBLE 937 MODIFIED_DATE DATE 937

930

PHONE

PARTICIPANT_ID DOUBLE (FK) PHONE_TYPE TEXT(1) (FK) PHONE_NBR TEXT(30) PHONE_STATUS TEXT(1) (FK)
--

955

ADDRESS

PARTICIPANT_ID DOUBLE (FK) 941 ADDRESS_TYPE TEXT(1) (FK) 942
STATE_CODE TEXT(2) (FK) 943 COUNTRY_CODE TEXT(3) (FK) 944 ADDRESS_STATUS TEXT(1) (FK) 945 ADDRESS_1 TEXT(80) 946 ADDRESS_2 TEXT(80) 946 CITY TEXT(50) 947 POSTAL_CODE TEXT(20) 948 REGION TEXT(50) 949 PROVINCE TEXT(50) 950

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SCRIPT_GROUP_PROPS

PARTICIPANT_ID DOUBLE (FK) 971 SCRIPT_GROUP_ID DOUBLE 972 VAR_CODE DOUBLE (FK) 973 VAR_VALUE TEXT(200) 974

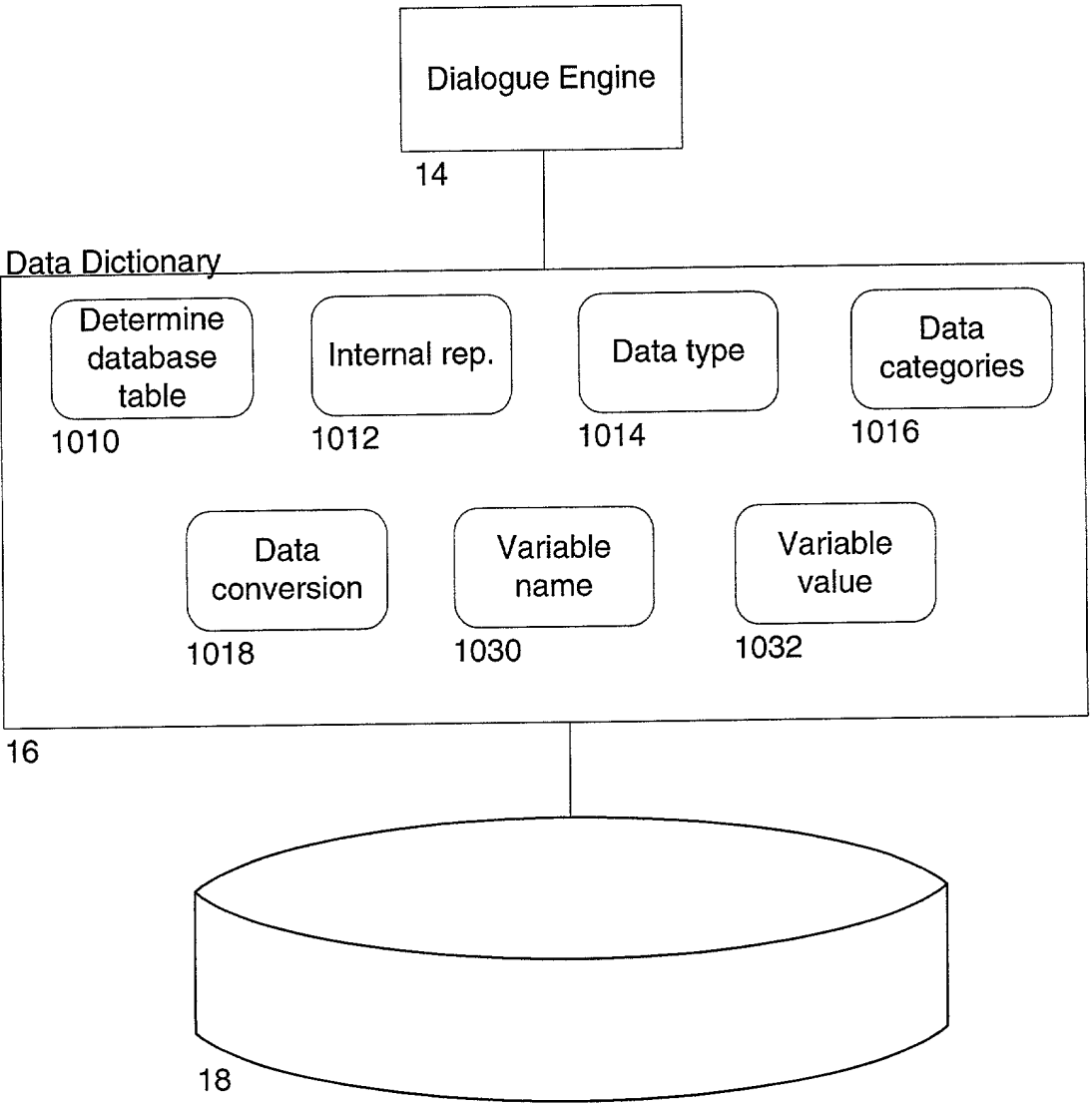
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LAST_CONTACTED

PARTICIPANT_ID DOUBLE (FK) 961
EMAIL_DATE DATE 962 PHONE_DATE DATE 963 STANDARD_MAIL_DATE DATE 964

960

Figure 11



DECLARATION AND POWER OF ATTORNEY
(Attorney Docket No. 109671.117)

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND SYSTEM FOR FACILITATING MARKETING DIALOGUES

the specification of which (check only one):

- ☒ is attached hereto.
- ☐ was filed as United States Patent Application
Serial No. _____
on _____
and was amended
on _____
(if applicable)
- ☐ was filed as PCT Patent Application
Serial No. _____
on _____
and was amended under PCT Article 19
on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of the claims of this application in accordance with Title 37, Code of Federal Regulations, Sections 1.56(a) and 1.56(b).

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS
UNDER 35 U.S.C. §119(a)-(d) or 365(b):**

COUNTRY (if PCT indicate PCT)	APPLICATION NUMBER	DATE OF FILING	PRIORITY CLAIMED UNDER 35 U.S.C. §119(a)- (b) or 365(b) (YES/NO)
--	---------------------------	-----------------------	---

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional patent application(s) listed below:

APPLICATION NUMBER	DATE OF FILING	STATUS: (PENDING OR ABANDONED)
---------------------------	-----------------------	---

I hereby claim the benefit under Title 35, United States Code, § 120 or 365(c) of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

**PRIOR U.S. APPLICATION OR PCT INTERNATIONAL APPLICATION(S)
DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. § 120 or 365(c):**

APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS: (PATENTED, PENDING OR ABANDONED)
---------------------------	--	---

POWER OF ATTORNEY: As named inventors, we hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith

Michael J. Bevilacqua	Reg. No. 31,091
Barbara A. Barakat	Reg. No. 32,190
Steven D. Barrett	Reg. No. 40,903
Sally Byrne	Reg. No. 40,545
David J. Cerveney	Reg. No. 44,600
James B. Lampert	Reg. No. 24,564
Wayne M. Kennard	Reg. No. 30,271
Hollie L. Baker	Reg. No. 31,321
Wayne A. Keown, Ph.D.	Reg. No. 33,923
Donald R. Steinberg	Reg. No. 37,241
Michael A. Diener	Reg. No. 37,122
Gregory S. Discher	Reg. No. 42,488
Ira H. Donner	Reg. No. 35,120
Richard A. Goldenberg	Reg. No. 38,895
Peter M. Dichiaro	Reg. No. 38,005
Ann-Louise Kerner, Ph.D.	Reg. No. 33,523
Colleen Superko	Reg. No. 39,850

Keum J. Park	Reg. No. 42,059
Jason A. Reyes	Reg. No. 41,513
Rajesh Vallabh	Reg. No. 35,761
Ayla A. Lari	Reg. No. 43,739
Nels Lippert	Reg. No. 25,888
Dominic Massa	Reg. No. 44,905
Janice M. Klunder, Ph.D.	Reg. No. 41,121
Nancy Chiu, Ph.D.	Reg. No. 43,545
Gretchen A. Rice, Ph.D.	Reg. No. 37,429
Scott M. Alter	Reg. No. 32,879
Edward D. Griefff	Reg. No. 38,898
C. Hall Swaim	Reg. No. 22,838
Luke J. Yeh	Reg. No. 43,296
Henry N. Wixon	Reg. No. 32,073

the mailing address and telephone number of each of whom is HALE AND DORR LLP, 60 State Street, Boston, Massachusetts 02109 and (617) 526-6000, with full power of substitution and revocation to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Send Correspondence To

**Donald R. Steinberg
Hale and Dorr LLP
60 State Street
Boston, MA 02109**

Direct Telephone Calls To

**Donald R. Steinberg
(617) 526-6453**

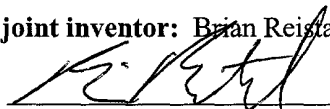
Wherefore I petition that letters patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe my name to said specification and claims and to the foregoing declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements

were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first and joint inventor: Brian Reistad

Inventor's signature



Date

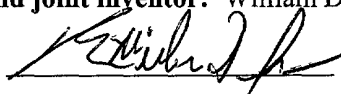
July 21, 00

Country of Citizenship: USA

Residence and Post Office Address: 31 Basswood Avenue, Sudbury, MA 01776

Full name of second and joint inventor: William D. Snapper

Inventor's signature



Date

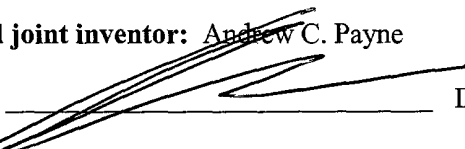
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Country of Citizenship: USA

Residence and Post Office Address: 90 Bald Hill Road, Holliston, MA 01746

Full name of third and joint inventor: Andrew C. Payne

Inventor's signature



Date

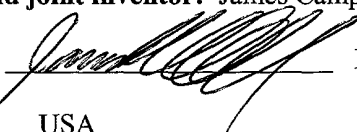
7/21/00

Country of Citizenship: USA

Residence and Post Office Address: 83 Tower Road, Lincoln, MA 01773

Full name of fourth and joint inventor: James Campbell

Inventor's signature



Date

7/21/00

Country of Citizenship: USA

Residence and Post Office Address: 73 Winter Street, Watertown, MA 02472

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